**PATENT** 

# 815/105 Practitioner

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Johnson et al.

Atty Dkt:

2815/105

Serial No: 10/705,571

Art Unit:

2664

Date Filed:

November 10, 2003

Examiner:

W. Chin

Invention: Dial Up Telephone Conferencing System

Date: November 16, 2005

Controlled by an Online Computer Network

**Mail Stop Amendment Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

> TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR **BEFORE MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))**

### IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last. 37 C.F.R. § 1.97(b).

#### CERTIFICATION UNDER 37 C.F.R. §§ 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

[x] deposited with the United States Postal Service in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

37 C.F.R. § 1.8(a)

37 C.F.R. § 1.10\*

[x] with sufficient postage as first class mail.

[ ] as "Express Mail Post Office to Addressee"

Mailing Label No.

(mandatory)

TRANSMISSION

[ ] facsimile transmitted to the Patent and Trademark Office, (703)

Signature

Date: November 16, 2005

Robert M. Asher

(type or print name of person certifying)

<sup>\*</sup> Only the date of filing (§ 1.6) will be the date used in a patent term adjustment calculation, although the date on any certificate of mailing or transmission under § 1.8 continues to be taken into account in determining timeliness. See § 1.703(f). Consider "Express Mail Post Office to Addressee" (§ 1.10) or facsimile transmission (§ 1.6(d)) for the reply to be accorded the earliest possible filing date for patent term adjustment calculations.

DATE: November 16, 2005

Robert M. Asher

**BROMBERG & SUNSTEIN LLP** 

Customer Number 02101

125 Summer Street

Boston, MA 02110-1618

US

02815/00105 446398.1

Practitioner's Docket No. 2815/105 **PATENT** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Johnson et al.

Atty Dkt:

2815/105

al No: 10/705,571

Art Unit:

2664

Date Filed:

November 10, 2003

Examiner:

W. Chin

Invention: Dial Up Telephone Conferencing System

Date: November 16, 2005

Controlled by an Online Computer Network

**Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

"An information disclosure statement shall be considered by the Office if filed by the applicant: NOTE:

- (1) Within three months of the filing date of a national application;
- (2) Within three months of the date of entry of the national stage as set forth in section 1.491 in an international

(Supplemental Information Disclosure Statement--page 1 of 9)

#### CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) and 1.10\*

(When using Express Mail, the Express Mail label number is mandatory; Express Mail certification is optional.)

I hereby certify that, on the date shown below, this correspondence is being:

#### **MAILING**

[x]	deposited with the United States Postal Service in an envelope addressed to Commissioner for Patents,	P.O. Box	1450,	Alexandria, \	۷A
	22313-1450				

37 C.F.R. SECTION 1.8(a)

37 C.F.R.SECTION 1.10\*

[ ] as "Express Mail Post Office to Addressee"

[x] with sufficient postage as first class mail.

Mailing Label No.

(mandatory)

**TRANSMISSION** 

[ ] transmitted by facsimile to the Patent and Trademark Office.

Signature

Robert M. Asher

(type or print name of person certifying)

Date:

November 16, 2005

\*WARNING:

Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed

thereon prior to mailing. 37 C.F.R. section 1.10(b).

"Since the filing of correspondence under section 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

application; or

- (3) Before the mailing date of a first Office action on the merits, whichever event occurs last." 37 C.F.R. section 1.97(b).
- NOTE: "Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section." 37 C.F.R. section 1.56(a).

"Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:

- (1) each inventor named in the application;
- (2) each attorney or agent who prepares or prosecutes the application; and
- (3) every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application." 37 C.F.R. section 1.56(c).
- NOTE: The "duty as described in section 1.56 will be met so long as the information in question was cited by the Office or submitted to the Office in the manner prescribed by sections 1.97(b) (d) and 1.98 before issuance of the patent." Notice of January 9, 1992, 1135 O.G. 13-25 at 17.

WARNING: "No information disclosure statement may be filed in a provisional application." 37 C.F.R. section 1.51(b).

#### List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

(check sections forming a part of this statement: discard unused sections and number pages consecutively)

- 1. [x]Preliminary Statements
- 2. [x]Forms PTO/SB/08A and 08B (substitute for Form PTO-1449)
- 3. [ Statement as to Information Not Found in Patents or Publications
- 4. [ ]Identification of Prior Application in Which Listed Information Was Already Cited and for Which No Copies Are Submitted or Need Be Submitted
- 5. [ ]Cumulative Patents or Publications
- 6. [x]Copies of Listed Information Items Accompanying This Statement
- 7. [ ]Concise Explanation of Non-English Language Listed Information Items
  - 7A. [ ]EPO Search Report
  - 7B. [ ]English Language Version of EPO Search Report
- 8. [ | Translation(s) of Non-English Language Documents
- 9. [ ]Concise Explanation of English Language Listed Information Items (Optional)
- 10. [x]Identification of Person(s) Making This Information Disclosure Statement

(complete the following, if appropriate)

Sections , respectively, have been continued on ADDED PAGE(S).

NOTE: "Once the minimum requirements are met, the examiner has an obligation to consider the information." Notice of April 20, 1992 (1138 O.G. 37-41, 37).

#### Section 1. Preliminary statements

Applicants submit herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. section 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

# SECTION 2. FORMS PFQ/SB/085 and 08B (formerly Form PTO-1449)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Johnson et al.

Attorney Docket:

2815/105

Serial No:

10/705,571

Art Group Unit:

2664

Date Filed:

November 10, 2003

**Examiner Name:** 

W. Chin

Invention:

Dial Up Telephone Conferencing System Controlled by an Online Computer

Network

# LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT

	U.S. PATENT DOCUMENTS						
Examiner	Reference Document		Issue Date	Inventor	Class/Subclass		
Initials	Number Number						
	AA.	5,559,875	Sep. 24, 1996	Bieselin et al.	379/202		
	AB	5,586,177	Dec. 17, 1996	Farris et al.	379/230		
	AC	5,619,555	Apr. 8, 1997	Fenton et al.	379/67		
	AD	5,629,978	May 13, 1997	Blumhardt et al.	379/201		
	AE	5,631,948	May 20, 1997	Bartholomew et al.	379/67		
	AF	5,661,782	Aug. 26, 1997	Bartholomew et al.	379/67		
	AG	5,661,790	Aug. 26, 1997	Hsu	379/209		
	AH	5,664,102	Sep. 2,1997	Faynberg	395/200.76		
	AI	5,680,442	Oct. 21, 1997	Bartholomew et al.	379/67		
	AJ	5,765,108	Jun. 9, 1998	Martin et al.	455/422		
	AK	5,790,548	Aug. 4, 1998	Sistanizadeh et al.	370/401		
	AL	5,793,771	Aug. 11, 1998	Darland et al.	370/467		
	AM	5,793,839	Aug. 11, 1998	Farris et al.	379/34		
	AN	5,812,639	Sep. 22, 1998	Bartholomew et al.	379/89		
	AO	5,818,836	Oct. 6, 1998	DuVal	370/389		
	AP	5,852,656	Dec. 22, 1998	Sato et al.	379/93.21		
	AQ	5,878,130	Mar. 2, 1999	Andrews et al.	379/265		
	AR	5,884,032	Mar. 16, 1999	Bateman et al.	395/200		
	AS	5,896,500	Apr. 20, 1999	Ludwig et al.	395/200.34		
	AT	5,916,302	Jun. 29, 1999	Dunn et al.	709/204		
	AU	5,933,490	Aug. 3, 1999	White et al.	379/221		
	AV	5,995,608	Nov. 30, 1999	Detampel et al.	379/205		
	AW	6,122,255	Sep. 19, 2000	Bartholomew et al.	370/237		
	AX	6,125,113	Sep. 20, 2000	Farris et al.	370/389		
	AY	6,130,933	Oct. 10, 2000	Miloslavsky	379/90.01		
	AZ	6,137,792	Oct. 24, 2000	Jonas et al.	370/354		
	BA	6,147,988	Nov. 12, 2000	Bartholomew et al.	370/352		
	BB	6,154,445	Nov. 28, 2000	Farris et al.	370/237		
	BC	6,167,432	Dec. 26, 2000	Jiang	709/204		

(Supplemental Information Disclosure Statement--page 4 of 9)



Applicants:

Johnson et al.

Attorney Docket:

2815/105

Serial No:

10/705,571

Art Group Unit:

2664

Date Filed:

November 10, 2003

**Examiner Name:** 

W. Chin

Invention:

Dial Up Telephone Conferencing System Controlled by an Online Computer

Network

 BD	6,215,858	Apr. 10, 2001	Bartholomew et al.	379/88.17
BE	6,222,826	Apr. 24, 2001	Faynberg et al.	370/252
BF	6,438,218	Aug. 20, 2002	Farris et al.	379/88.17
 BG	6,650,631	Nov. 18, 2003	Benash et al.	370/352
BH	6,870,827	Mar. 22, 2005	Voit et al.	370/352

	FOREIGN PATENT DOCUMENTS							
Examiner Reference Country Document Publication Patenteee or Initials Number Code Number Date Applicant								
	BI	CA	2303392	Apr. 6, 2004	Menard			
	BJ	EP	0847178	Jan. 14, 2004	Dunn et al.			

OTHER DOCUMENTS								
Examiner Initials	Reference Number	Author	Title of Article, Title of Journal, Volume Number, Page Numbers, Date					
	BK	Parameshwar et al.	"Advanced SIP Series: SIP and 3GPP", Award Solution, Inc., pp. 1-9.					
	BL	Faynberg et al.	"A Proposal for Internet and Public Switched Telephone Networks (PSTN) Internetworking", Network Working Group, pp. 1-10, March 1997.					
	BM	Krishnaswamy et al.	"Information Exchange to be Supported by the Service Support Transfer Protocol (SSTP)" July, 1997.					
	BN	Conroy et al	"Analysis of Services and Interfaces Used When Interworking Between the Internet and the Intelligent network (I.N.)" pp. 1-19, July 7, 1997.					
	ВО	Sinnreich et al.	"Standards Framework for Internet Telephony", August 12, 1997.					
	BP	Krishnaswamy et al.	"PSTN-Internet InternetworkingAn Architecture Overview", pp. 1-15, November 1997.					

NOV 2 1700 DELLO

Applicants: Johnson et al. Attorney Docket: 2815/105

Serial No: 10/705,571 Art Group Unit: 2664

Date Filed: November 10, 2003 Examiner Name: W. Chin

Invention: Dial Up Telephone Conferencing System Controlled by an Online Computer

Network

DO.	Dana et al	"An Architecture and Protocols for Initiation
BQ	Burg et al.	and Control of Telephone Calls From
		Terminals Connected to a Call Broker Over a
		TCP/IP Connection", Internet Engineering
		Task Force, pp. 1-13, December 1997.
 BR	Dalias et al.	"Bay Networks SS7-Internet Gateway
BK	Danas et al.	Architecture", Transport Working Group, pp.
1	:	
 DC		1-11, May 1998.
BS		"Cisco Delivers SS7 Solution for Advanced
		IP Network Access", Cisco Systems, Inc.,
 		June 8, 1998.
BT	Cuervo et al.	"SS7-Internet Internetworking Architectural
 		Framework", July 1998.
BU	Arango et al.	"Simple Gateway Control Protocol (SGCP),
		Internet Engineering Task Force, pp. 1-79,
		July 30, 1998.
$\mathbf{BV}$	Ma	"H.323 Signaling and SS7 ISUP Gateway:
		Procedure Interworking", Telecom
		Technologies, Inc., October 1998.
BW	Forbes	"Requirements for Functional Architecture
		for IN Support of IP-Networks", ITU
		Telecommunications, March 1, 1999.
BX		"Lucent Technologies Announces Click-to-
		Dial Web Services and Intelligent Network
		Capabilities For Voice Over Data Networks",
		Lucent Technologies, May 12, 1999.
BY	Vaha-Sipila	"URLs for Telephone Calls", pp. 1-18,
		August 19, 1999.
BZ	Höneisen	"Convergence of Internet and Intelligent
		Networks: Interactions of Services Using
		PINT", Nokia Research Center, pp. 1-106,
		September 10, 1999.

OIPE 1705 WEE

Applicants: Johnson et al.

Attorney Docket:

2815/105

Serial No:

10/705,571

Art Group Unit:

2664

Date Filed:

November 10, 2003

Examiner Name:

W. Chin

Invention:

Dial Up Telephone Conferencing System Controlled by an Online Computer

Network

	CA	Petrack et al.	"The PINT Service Protocol: Extensions to SIP and SDP for IP Access to Telephone Call Services", Internet Engineering Task Force, pp. 1-60, October 6, 1999.
C	СВ	Faynberg et al.	"Converged Networks and Services: Internetworking IP and the PSTN", Wiley Computer Publishing, pp. 1-347, January, 2000.
C	CC		"Nortel Networks Universal Signaling Point for Seamless Interworking", Nortel Networks, January 2000.
C	CD		"SS7 Tutorial", Performance Technologies, Inc., January 2000.
C	CE	Greene et al.	"Media Gateway Control Protocol Architecture and Requirements", Network Working Group, April 2000.
C	CF	Rosen et al.	"BGP / MPLS VPNs", Network Working Group May 2000.
C	CG	Petrack et al.	"The PINT Service Protocol: Extensions to SIP and SDP for IP Access to Telephone Call Services", June 2000.

Examiner Signature:
Date Considered:
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

### Section 6. Copies of Listed Information Items Accompanying This Statement

NOTE: 37 C.F.R. section 1.98(a)(2) requires that any information disclosure statement filed under section 1.97 shall include: "A legible copy of: (1) Each U.S. and foreign patent; (ii) Each publication or that portion which caused it to be listed; and (iii) All other information or that portion which caused it to be listed, except that no copy of a U.S. patent application need be included..."

NOTE: The wording in section 1.98(a)(2)(iii) makes it clear that the requirement to submit a copy of each item of information listed in an information disclosure statement does not apply to the citation of a U.S. patent application. Notice of January 9, 1992, 1135 O.G. 13-25, at 14.

Legible copies of all items listed in Forms PTO/SB/08A and 08B (substitute for Form PTO-1449) accompany this information statement.

(complete the following, if applicable)

[x ]Exception(s) to above:

U.S. patent citations are not included pursuant to the United State Patent and Trademarks Office's September 21, 2004 waiver of the copy requirement in 37 CFR 1.98 for cited pending U.S. patent citations when the patent citations are available in the USPTO's IFW system.

[	]Items	in	prior	application,	from	which	an	earlier	filing	date	is	claimed	for	this	application,	as
id	entified	in	Secti	on 4.												

[ ]Cumulative patents or publications identified in Section 5.

## Section 10. Identification of Person(s) Making This Information Disclosure Statement

The person making this certification is	
(check eac	ch applicable item)
(a) [ ]the inventor(s) who signs below	
	SIGNATURE OF INVENTOR
	(type name of inventor who is signing)
(b) [ ]an individual associated with the file 1.56(c))	ing and prosecution of this application (37 C.F.R. section
	SIGNATURE OF INVENTOR
	(type name of inventor who is signing)
(c) [x] the practitioner who signs below on	the basis of the information:
(check ea	ch applicable item)
[ ] supplied by the inventor(s	).
	ual associated with the filing and prosecution of this C.F.R. section 1.56(c)).
[x] in the practitioner's file.	SIGNATURE OF PRACTITIONER
Reg. No.: 30,445	
Tel. No.: (617) 443-9292	Robert M. Asher (type or print name of practitioner)  Bromberg & Sunstein LLP  125 Summer Street, 11 <sup>th</sup> Floor  P.O. Address
Customer No.: 002101	
02815/00105 437278.1	Boston, MA 02110-1618